

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q68216

Kenji TAMURA, et al.

Appln. No.: 10/501,864

Group Art Unit: 1713

Confirmation No.: 6439

Examiner: Robert D. Harlan

Filed: July 20, 2004

For: PHOSPHORUS-CONTAINING URETHANE (METH)ACRYLATE COMPOUNDS AND  
PHOTOSENSITIVE COMPOSITIONS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

As a concise explanation of the relevance of foreign language documents, US 4,717,740 corresponds to JP 62-502848 A and EP 0 545 081 corresponds to JP 6-87939 A.

The present Information Disclosure Statement is being filed <sup>11/20/2006 5:57 PM</sup> (without a Statement Under <sup>10/20/2006 10:02 AM</sup> 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is

**INFORMATION DISCLOSURE STATEMENT**  
**U.S. Application No. 10/501,865**

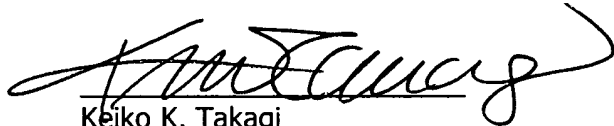
**Attorney Docket Q68216**

earlier), and therefore, please charge the fee of \$180.00 under 37 C.F.R. § 1.17(p) to Deposit Account No.: 19-4880.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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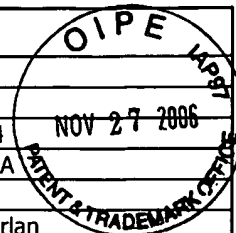
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: November 27, 2006

<b>Substitute for Form 1449 A &amp; B/PTO</b>  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/501,864
				Confirmation Number	6439
				Filing Date	July 20, 2004
				First Named Inventor	Kenji TAMURA
				Art Unit	1713
				Examiner Name	Robert D. Harlan
				Attorney Docket Number	Q68216
Sheet	1	of	1		



U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> <i>(if known)</i>		
		US 4,717,740		01-05-1988	Hung et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> <i>(if known)</i>			
		JP	62-502848	A	11-12-1987	Hung et al.	
		JP	6-87939	A	03-29-1994	Mayes et al.	
		EP	0 545 081	A1	06-09-1993	Mayes et al.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the Intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.